

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: K. Ito et al. Attorney Docket No.: NAII127769  
Application No.: 10/585,593 Art Unit: 1614  
Submitted: July 10, 2006 Confirmation No.: 4431  
Title: COMPOUND HAVING CROSSLINKED POLYROTAXANE AND  
PROCESS FOR PRODUCING THE SAME

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101

August 19, 2009

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated April 2009 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs are included in this request.

II. Fees Charged for Which Refund Requested

Fees charged	Amount of 2006 fees inadvertently charged	2009 - actual amount owed	Difference
1615: Claims - extra total (over 20): charged for 22 in 2006 (x \$50)  There are only 9 extra claims (9 x \$52 in 2009 = \$468)	\$ 1,100	\$ 468	\$ 632
1616: Claims - multiple dependent	\$ 360	- 0 -	\$ 360
	<u>Total Refund Requested:</u>		<b>\$ 992</b>

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue, Suite 2800  
Seattle, Washington 98101  
206.682.8100

III. Explanation of Why Contested Charge Is in Error

This national stage application was filed incomplete on July 10, 2006, i.e., without the declaration of the inventors. It is our understanding that claim fees are not to be charged to the deposit account before the response to the Notification of Missing Requirements is filed. However, claim fees were "calculated" and extra and multiple-dependent claim fees were charged to our Deposit Account on April 10, 2009, **before the Notification of Missing Requirements was mailed**. In response to the Notice, we submitted a Preliminary Amendment, amending both the correctly and incorrectly written multiple-dependent claims so that multiple-dependent claims no longer exist in this application, which was our original intention.

We have calculated the appropriate 2009 claim fees that were actually due with the Preliminary Amendment filed on June 16, 2009, and therefore request that the PTO refund the overcharge of \$992.

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Jeffrey M. Sakoi

Registration No. 32,059

Direct Dial No. 206.695.1713

JMS:ctg

**Deposit Account Statement**

**Requested Statement Month:** April 2009  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Street Address 1:** 2800 PACIFIC FIRST CENTRE  
**Street Address 2:** 1420 FIFTH AVENUE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	1457	29283799	THAS130003	1811	\$100.00	\$23,082.88
04/01	2927	77703415	BRCI-2-38487	7001	\$325.00	\$22,757.88
04/01	3038	77703428	BRCI-2-38495	7001	\$325.00	\$22,432.88
04/01	3391	77703448	BRCI-2-38496	7001	\$325.00	\$22,107.88
04/01	3650	12415451	PCCR130508	1111	\$540.00	\$21,567.88
04/01	3651	12415451	PCCR130508	1311	\$220.00	\$21,347.88
04/01	3649	12415451	PCCR130508	1011	\$330.00	\$21,017.88
04/01	4004	12415451	PCCR-1-30508	8021	\$40.00	\$20,977.88
04/01	4442	10583202	34141-US-PCT	1501	\$1,510.00	\$19,467.88
04/01	4446	10583202	34141-US-PCT	1504	\$300.00	\$19,167.88
04/01	4998	11319763	DESS126658	1251	\$130.00	\$19,037.88
04/01	4999	11319763	DESS126658	1801	\$810.00	\$18,227.88
04/01	5550	12040545	IRFR130718	8021	\$40.00	\$18,187.88
04/01	5755	61165318	SNUS132484	2005	\$110.00	\$18,077.88
04/01	5757	61090557	UTLX131806	8021	\$40.00	\$18,037.88
04/01	5863	61090503	UTLX131791	8021	\$40.00	\$17,997.88
04/01	6147	2775861	KROS-2-28876/32153	8522	\$25.00	\$17,972.88
04/01	6146	2775861	KROS-2-28876/32153	8521	\$40.00	\$17,932.88
04/01	6294	61165333	UTLX131793	1005	\$220.00	\$17,712.88
04/01	6495	77703638	LAEN-2-38519	7001	\$325.00	\$17,387.88
04/01	6557	11519576	BSME124981	1253	\$1,110.00	\$16,277.88
04/01	7187	12300780	TYOS-1-32025	8021	\$40.00	\$16,237.88
04/01	7288	12300780	TYOS-1-32025	8021	\$40.00	\$16,197.88
04/01	8375	61165434	UWOTL132418	2005	\$110.00	\$16,087.88
04/01	10994	12397168	UWOTL132976	2201	\$110.00	\$15,977.88
04/01	10995	12397168	UWOTL132976	2051	\$65.00	\$15,912.88
04/01	10993	12397168	UWOTL132976	2202	\$260.00	\$15,652.88
04/01	10992	12397168	UWOTL132976	2311	\$110.00	\$15,542.88
04/01	10991	12397168	UWOTL132976	2111	\$270.00	\$15,272.88
04/01	10990	12397168	UWOTL132976	4011	\$82.00	\$15,190.88
04/01	11097	12397168	UWOTL-1-32976	8021	\$40.00	\$15,150.88

04/10	7132	11831849	WOGL129809	1504	\$300.00	\$27,166.88
04/10	7598	11124916	UWOTL124802	2251	\$65.00	\$27,101.88
04/10	7721	12107735	LAMA131233	8007	\$20.00	\$27,081.88
04/10	7722	12107719	LAMA131233	8007	\$20.00	\$27,061.88
04/10	7720	12107047	LAMA131233	8007	\$20.00	\$27,041.88
04/10	8153	PCT/US09/40114	UWOTL133019	1601	\$240.00	\$26,801.88
04/10	8156	PCT/US09/40114	UWOTL133019	1709	\$609.00	\$26,192.88
04/10	8154	PCT/US09/40114	UWOTL133019	1701	\$1,019.00	\$25,173.88
04/10	8155	PCT/US09/40114	UWOTL133019	1703	\$228.00	\$24,945.88
04/10	8475	10801903	PCCR122524	1252	\$360.00	\$24,585.88
04/10	8476	10801903	PCCR122524	1801	\$810.00	\$23,775.88
04/10	8649	11590960	MEIP127977	1501	\$1,510.00	\$22,265.88
04/10	8650	11590960	MEIP127977	1504	\$300.00	\$21,965.88
04/10	2	10585593	NAII127769	1615	\$1,100.00	\$20,865.88
04/10	3	10585593	NAII127769	1616	\$360.00	\$20,505.88
04/13	610	2767348	OCIN-2-24524	7205	\$100.00	\$20,405.88
04/13	611	2767348	OCIN-2-24524	7208	\$200.00	\$20,205.88
04/13	1028	11471283	AJGC127531	1251	\$130.00	\$20,075.88
04/13	1027	11471283	AJGC127531	1202	\$156.00	\$19,919.88
04/13	1029	11471283	AJGC127531	1801	\$810.00	\$19,109.88
04/13	1358	12445171	NGBCP133155	1642	\$430.00	\$18,679.88
04/13	1357	12445171	NGBCP133155	1631	\$330.00	\$18,349.88
04/13	1359	12445171	NGBCP133155	1633	\$220.00	\$18,129.88
04/13	1960	10274415	130877	1801	\$810.00	\$17,319.88
04/13	5738	10986678	WWWI123091	2251	\$65.00	\$17,254.88
04/13	5739	10986678	WWWI123091	2801	\$405.00	\$16,849.88
04/13	5737	10986678	WWWI123091	1454	\$1,410.00	\$15,439.88
04/13	6264	11378676	LAMA127290	1253	\$1,110.00	\$14,329.88
04/13	6425	7488213	PCCR-1-30787 SAH	8013	\$25.00	\$14,304.88
04/13	6523	12240901	XCER131502	2254	\$310.00	\$13,994.88
04/13	6567	12240820	XCER131482	2254	\$310.00	\$13,684.88
04/13	25	10585592	NAII127770	1614	\$200.00	\$13,484.88
04/13	26	10585592	NAII127770	1616	\$360.00	\$13,124.88
04/13	24	10585592	NAII127770	1615	\$1,850.00	\$11,274.88

